



The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	09/933,780B
Sources	Dipe
Date Processed by STIC:	2/14/03

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: patin21help@uspto.gov or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: patin3help@uspto.gov or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 3.1 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:
   U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202
  - U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- 4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

### Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 091933, 780B
ATTN: NEW RULES CASES:	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
lWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If Intentional, please insert the following lines for each skipped sequence.  <210> sequence id number  <400> sequence id number  000
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
11 Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses.  Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section.  (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
13Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.

AMC/MH - Biotechnology Systems Branch - 08/21/2001



ly esdeci Erron on pp. 3-5 Does Not Comply Corrected Diskotte Needed

RAW SEQUENCE LISTING

3 <110> APPLICANT: AVENTIS PHARMACEUTICALS INC.

PATENT APPLICATION: US/09/933,780B

TIME: 07:42:45

DATE: 02/14/2003

```
GUO, Yong
     4
             MORSE, Clarence
             YAO, Zhengbin
     6
     8 <120> TITLE OF INVENTION: MEMBRANE PENETRATING PEPTIDES AND USES THEREOF
     10 <130> FILE REFERENCE: HMR2053 USNP1
     12 <140> CURRENT APPLICATION NUMBER: 09/933,780B
    13 <141> CURRENT FILING DATE: 2001-08-21
     15 <150> PRIOR APPLICATION NUMBER: US 60/27,647
     16 <151> PRIOR FILING DATE: 2000-08-25
     18 <150> PRIOR APPLICATION NUMBER: GB 0103110.3
    19 <151> PRIOR FILING DATE: 2001-02-07
     21 <160> NUMBER OF SEQ ID NOS: 54
     23 <170> SOFTWARE: PatentIn version 3.0
     25 <210> SEQ ID NO: 1
     26 <211> LENGTH: 10
     27 <212> TYPE: PRT
     28 <213> ORGANISM: Artificial
     30 <220> FEATURE:
     31 <223> OTHER INFORMATION: Sequence of nuclear location sequence contained within the N-
term
             inal of IL-alpha propiece
     34 <400> SEOUENCE: 1
     36 Asn Gly Lys Val Leu Lys Lys Arg Arg Leu
     39 <210> SEQ ID NO: 2
     40 <211> LENGTH: 16
     41 <212> TYPE: PRT
     42 <213> ORGANISM: Artificial
     44 <220> FEATURE:
     45 <223> OTHER INFORMATION: Signal sequence peptide from Antennapedia homeodomain
     47 <400> SEQUENCE: 2
     49 Arg Gln Ile Lys Ile Trp Phe Gln Asn Arg Arg Met Lys Trp Lys Lys
     50 1
     52 <210> SEQ ID NO: 3
     53 <211> LENGTH: 15
     54 <212> TYPE: PRT
     55 <213> ORGANISM: Artificial
     57 <220> FEATURE:
     58 <223> OTHER INFORMATION: The fibroblast growth factor signal sequence peptide
     60 <400> SEQUENCE: 3
     62 Ala Ala Val Ala Leu Leu Pro Ala Val Leu Leu Ala Leu Leu Ala
                                             10
     63 1
                        5
     65 <210> SEQ ID NO: 4
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# RAW SEQUENCE LISTING PATENT APPLICATION: US/09/933,780B DATE: 02/14/2003 TIME: 07:42:45

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66 <211> LENGTH: 29
    67 <212> TYPE: PRT
    68 <213> ORGANISM: Artificial
    70 <220> FEATURE:
    71 <223> OTHER INFORMATION: HIV tat signal sequence peptide
    73 <400> SEQUENCE: 4
    75 Cys Phe Ile Thr Lys Ala Leu Gly Ile Ser Tyr Gly Arg Lys Lys Arg
                                            10
                       5
    78 Arg Gln Arg Arg Pro Pro Gln Gly Ser Gln Thr His
                                        25
    79
    81 <210> SEQ ID NO: 5
    82 <211> LENGTH: 4
    83 <212> TYPE: PRT
    84 <213> ORGANISM: Artificial
    86 <220> FEATURE:
    87 <223> OTHER INFORMATION: Peptide sequence of an N-terminal fluorescein isothiocyanate
(FIT
             C) peptide moti
    88
    90 <400> SEQUENCE: 5
    92 Gly Gly Gly
     93 1
     95 <210> SEQ ID NO: 6
     96 <211> LENGTH: 7
     97 <212> TYPE: PRT
     98 <213> ORGANISM: Artificial
     100 <220> FEATURE:
     101 <223> OTHER INFORMATION: Fragment of IFN-gamma
     103 <400> SEQUENCE: 6
     105 Arg Lys Arg Lys Arg Ser Arg
     108 <210> SEQ ID NO: 7
     109 <211> LENGTH: 7
     110 <212> TYPE: PRT
     111 <213> ORGANISM: Artificial
     113 <220> FEATURE:
     114 <223> OTHER INFORMATION: Frgament of the N-terminus of fibroblast growth factor.
     116 <400> SEQUENCE: 7
     118 Asn Tyr Lys Lys Pro Lys Leu
     119 1
     121 <210> SEQ ID NO: 8
     122 <211> LENGTH: 8
     123 <212> TYPE: PRT
     124 <213> ORGANISM: Artificial
     126 <220> FEATURE:
     127 <223> OTHER INFORMATION: Luinus luteus nuclear protein import sequence
     129 <400> SEQUENCE: 8
     131 Lys Pro Lys Lys Lys Glu Lys
     132 1
                         5
     134 <210> SEQ ID NO: 9
     135 <211> LENGTH: 5
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## RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/933,780B

Input Set : A:\HMR2053USNP1sqlt.txt

DATE: 02/14/2003 TIME: 07:42:45

Output Set: N:\CRF4\02142003\1933780B.raw 136 <212> TYPE: PRT 137 <213> ORGANISM: Artificial 139 <220> FEATURE: 140 <223> OTHER INFORMATION: Sequence of the basic motif in the nuclear protein import sequenc e of Smad 3 protei 141 143 <400> SEQUENCE: 9 145 Lys Lys Leu Lys Lys 146 1 148 <210> SEQ ID NO: 10 149 <211> LENGTH: 11 150 <212> TYPE: PRT 151 <213> ORGANISM: Artificial 153 <220> FEATURE: 154 <223> OTHER INFORMATION: Sequence of intracellular loop of 5HT2A receptor 156 <400> SEQUENCE: 10 158 Ser Leu Glu Lys Lys Leu Gln Asn Ala Thr Asn 159 1 161 <210> SEQ ID NO: 11 162 <211> LENGTH: 23 163 <212> TYPE: PRT 164 <213> ORGANISM: Artificial 166 <220> FEATURE: 167 <223> OTHER INFORMATION: Sequence of C-terminal transmembrane 7 domain derived from 5HT2A 168 recepto 170 <400> SEQUENCE: 11 172 Lys Thr Tyr Arg Ser Ala Phe Ser Arg Tyr Ile Gln Tyr Lys Glu Asn 10. 175 Lys Lys Pro Leu Gln Leu Ile 20 176 178 <210> SEQ ID NO: 12 179 <211> LENGTH: 9 180 <212> TYPE: PRT 181 <213> ORGANISM: Artificial 183 <220> FEATURE: 184 <223> OTHER INFORMATION: Fragment of HIV TAT 186 <400> SEQUENCE: 12 188 Arg Lys Lys Arg Arg Gln Arg Arg Arg The type of errors shown exist throughout 189 1 the Sequence Listing. Please check subsequent 191 <210> SEQ ID NO: 13 sequences for similar errors. 192 <211> LENGTH: 4 193 <212> TYPE: PRT must explain genetic source, e.g. "synthetic," etc. 194 <213> ORGANISM: Artificial 196 <220> FEATURE: 197 <223> OTHER INFORMATION: (peptide 199 <400> SEQUENCE: 13 201 Gly Phe Leu Gly 202 1 204 <210> SEQ ID NO: 14 205 <211> LENGTH: 5

PATENT APPLICATION: US/09/933,780B

DATE: 02/14/2003 TIME: 07:42:45

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206 <212> TYPE: PRT
207 <213> ORGANISM: Artificial
209 <220> FEATURE:
210 <223> OTHER INFORMATION: Peptide
212 <400> SEQUENCE: 14
214 Asp Asp Asp Asp Lys
215 1
217 <210> SEQ ID NO: 15
218 <211> LENGTH: 4
219 <212> TYPE: PRT
220 <213> ORGANISM: Artificial
222 <220> FEATURE:
223 <223> OTHER INFORMATION: (peptide
225 <400> SEQUENCE: 15
227 Glu Tyr Phe Pro
228 1
230 <210> SEQ ID NO: 16
231 <211> LENGTH: 16
232 <212> TYPE: PRT
233 <213> ORGANISM: Artificial
235 <220> FEATURE:
236 <223> OTHER INFORMATION: Nuclear protein import sequence of hPER1
238 <400> SEQUENCE: 16
240 Ser Arg Arg His His Cys Arg Ser Lys Ala Lys Arg Ser Arg His His
                                         10
241 1
243 <210> SEQ ID NO: 17
244 <211> LENGTH: 16
245 <212> TYPE: PRT
246 <213> ORGANISM: Artificial
248 <220> FEATURE:
249 <223> OTHER INFORMATION: (Peptide)
251 <400> SEQUENCE: 17
253 Gly Arg Arg His His Cys Arg Ser Lys Ala Lys Arg Ser Arg His His
254 1
256 <210> SEQ ID NO: 18
257 <211> LENGTH: 23
258 <212> TYPE: PRT
259 <213> ORGANISM: Artificial
261 <220> FEATURE:
262 <223> OTHER INFORMATION: peptide
264 <400> SEQUENCE: 18
266 Gly Met Asp Tyr Lys Asp Asp Asp Lys Gly Tyr Gly Arg Lys
267 1
269 Lys Arg Arg Gln Arg Arg Arg
270
272 <210> SEQ ID NO: 19
273 <211> LENGTH: 23
274 <212> TYPE: PRT
275 <213> ORGANISM: Artificial
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#### RAW SEQUENCE LISTING

PATENT APPLICATION: US/09/933,780B

DATE: 02/14/2003 TIME: 07:42:45

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277 <220> FEATURE:
278 <223> OTHER INFORMATION: peptide
280 <400> SEQUENCE: 19
282 Gly Met Asp Tyr Lys Asp Asp Asp Lys Gly Tyr Gly Arg Lys Lys
                                        10
285 Lys Arg Arg Gln Arg Arg Arg
                20
286
288 <210> SEQ ID NO: 20
289 <211> LENGTH: 19
290 <212> TYPE: PRT
291 <213> ORGANISM: Artificial
293 <220> FEATURE:
294 <223> OTHER INFORMATION: \peptide
296 <400> SEQUENCE: 20
298 Gly Met Asp Tyr Lys Asp Asp Asp Lys Gly Met Asp Tyr Asp Asp
                                         10
299 1
301 Asp Asp Lys
304 <210> SEQ ID NO: 21
305 <211> LENGTH: 17
306 <212> TYPE: PRT
307 <213> ORGANISM: Artificial
309 <220> FEATURE:
310 <223> OTHER INFORMATION: \peptide
312 <400> SEQUENCE: 21
314 Gly Arg Gln Ile Lys Ile Trp Phe Gln Asn Arg Arg Met Lys Trp Lys
                    5
315 1
317 Lys
320 <210> SEQ ID NO: 22
321 <211> LENGTH: 10
322 <212> TYPE: PRT
323 <213> ORGANISM: Artificial
325 <220> FEATURE:
326 <223> OTHER INFORMATION: peptide
328 <400> SEQUENCE: 22
330 Gly Arg Arg Arg Arg Arg Arg Arg Arg
333 <210> SEO ID NO: 23
334 <211> LENGTH: 10
335 <212> TYPE: PRT
336 <213> ORGANISM: Artificial
338 <220> FEATURE:
339 <223> OTHER INFORMATION: (peptide)
341 <400> SEQUENCE: 23
343 Gly Lys Lys Lys Lys Lys Lys Lys Lys
344 1
346 <210> SEQ ID NO: 24
347 <211> LENGTH: 10
348 <212> TYPE: PRT
349 <213> ORGANISM: Artificial
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RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/09/933,780B DATE: 02/14/2003 TIME: 07:42:46

Input Set : A:\HMR2053USNP1sqlt.txt

Output Set: N:\CRF4\02142003\1933780B.raw

#### Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:54; Xaa Pos. 1,2,3,4

#### Invalid <213> Response:

Use of "Artificial" only as "<213> Organism" response is incomplete, per 1.823(b) of New Sequence Rules. Valid response is Artificial Sequence.

Seq#:1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27 Seq#:28,29,30,31,32,33,34,35,36,37,38,39,40,41,42,43,44,45,46,47,48,49,50,51 Seq#:52,53,54

VARIABLE LOCATION SUMMARY

DATE: 02/14/2003 PATENT APPLICATION: US/09/933,780B TIME: 07:42:46

Input Set : A:\HMR2053USNP1sqlt.txt

Output Set: N:\CRF4\02142003\I933780B.raw

#### Use of n's or Xaa's (NEW RULES):

Use of n's and/or Xaa's have been detected in the Sequence Listing. Use of <220> to <223> is MANDATORY if n's or Xaa's are present. in  $\langle 220 \rangle$  to  $\langle 223 \rangle$  section, please explain location of n or Xaa, and which residue n or Xaa represents.

Seq#:54; Xaa Pos. 1,2,3,4

VERIFICATION SUMMARY

PATENT APPLICATION: US/09/933,780B

DATE: 02/14/2003 TIME: 07:42:46

Input Set : A:\HMR2053USNP1sqlt.txt

Output Set: N:\CRF4\02142003\I933780B.raw

L:772 M:258 W: Mandatory Feature missing, <222> Tag not found for SEQ ID#:54

L:772 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:54 after pos.:0